

PATENT  
Attorney Docket No.: SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Xin Xue et al.

Serial No.: 10/666,402

Filed: September 17, 2003

For: **MIDDLEWARE FILTER AGENT  
BETWEEN SERVER AND PDA**

) Group Art Unit: 2631

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7/24/06 By: Jonathan O. Owens



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Serial No.: 10/666,402	)	
Filed: September 17, 2003	)	<b><u>CERTIFICATION FOR</u></b>
	)	<b><u>INFORMATION DISCLOSURE</u></b>
For: <b>MIDDLEWARE FILTER AGENT</b>	)	<b><u>STATEMENT UNDER 37 CFR § 1.97(e)</u></b>
<b>BETWEEN SERVER AND PDA</b>	)	162 North Wolfe Road
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Commissioner for Patents  
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This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

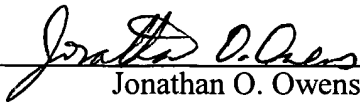
I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited within an Official Action mailed on June 19, 2006. **Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

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Jonathan O. Owens  
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HAVERSTOCK & OWENS LLP.

Date: \_\_\_\_\_ By: \_\_\_\_\_

FORM PTO-1449  
(Modified)

JUL 27 2006

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-26500

Serial No.: 10/666,402

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Xin Xue et al.

Filing Date: September 17, 2003

Group Art Unit: 2631

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	2002/0194209 A1	12/19/2002	Bolosky et al.	707	205	03/21/2001
	AB	2003/0004947 A1	01/02/2003	Coverston	707	9	06/28/2001
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	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	www.Wikipedia.com>Moore's Law, 5 pages.
	AV	www.Wikipedia.com>IPv4, 9 pages.
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.